

Case 1:20-cv-05441-KPF Document 157-15 Filed 08/14/20 Page 2 of 19



OFFICE OF THE GENERAL COUNSEL

August 4, 2020

VIA E-MAIL

Susan Panepento, Esq. Chair Board of Collective Bargaining 100 Gold Street, 4th Floor New York, NY 10038

Re: PBA Request for Arbitration: Release of Police Officer Personnel Records, Violation of Article XVI, Section 7(c) and Section 8 of the Collective Bargaining Agreement

Dear Ms. Panepento:

I enclose a Request for Arbitration (the "RFA") and Waiver regarding the City of New York's (the "City") violation of Article XVI, Section 7(c) and Section 8 of the Collective Bargaining Agreement (the "Agreement") between the Police Benevolent Association of the City of New York, Inc. (the "PBA") and the City.

The PBA is filing this Request with the Office of Collective Bargaining in accord with Article XXI, Section 8 of the Agreement. However, this Request is not intended to be, and shall not be construed as, a request for the appointment of an arbitrator pursuant to Article XXI, Section 8 of the Agreement, and the PBA expressly reserves all of its rights with respect to that provision. Rather, since the arbitration panel established under that section to hear disputes between these parties is currently vacant, the PBA will also be filing, unless there is an agreement between the parties as to the appointment of an arbitrator, a Petition with the New York State Supreme Court, pursuant to Section 7504 of the Civil Practice Law and Rules, requesting that the court appoint a neutral arbitrator to resolve this dispute. The PBA will contact the City's Office of Labor Relations to discuss a possible agreement on an arbitrator appointment in this matter.

If you have any questions or concerns, please contact me at (212) 298-9194.

Very truly yours,

David W. Morris

Enclosures

cc: Steven Banks Patrick J. Lynch Michael T. Murray

	REQUEST FOR	ARBITRATION				
OFFICE OF COLLECTIVE BARGAINING 100 GOLD STREET - 4TH FLOOR		Docket Number				
NEW YORK, I PHONE (212) 306-7160	N.Y. 10038 FAX (212) 306-7167	Date Filed				
INSTRUCTIONS: Consult the New York City Collective Bargaining Law, Chapter 3, Title 12 of the Administrative Code of the City of New York ("NYCCBL"), § 12-312, and the Rules of the Office of Collective Bargaining (Rules of the City of New York, Title 61, Chapter 1) ("OCB Rules"), § 1-06. File original with OCB and serve one copy on the designated agent for the other party.						
☐ CHECK BOX IF YOU HAVE REQUESTED EXPEDITED ARBITRATION IN QUESTION 6(c).						
1. NAME OF PUBLIC EMPLOYEE ORGANIZATION AND LOCAL						
Name: Police Benevolent Association of the City of New York, Inc.						
Address: 125 Broad Street, 11	th Floor, 10004					
Phone: (212) 298-9100	Fax: (212) 608-7824	Email (optional):				
2. NAME OF PUBLIC EMPI	LOYER					
Name: City of New York, Po	lice Department					
Address: One Police Plaza, New York, NY 10038						
Phone: (646) 610-5060	Fax:	Email (optional):				
3. NATURE OF DISPUTE						
(a) Name(s) of agency which employ the grievant(s): New York City Police Department						
	parinoni					
(b) Name(s) of grievant(s): Group Grievance						
(c) A precise and concise statem	ent of the grievance to be arb	pitrated:				
See the attachment.						
(d) Specify the remedy sought:						
See the attachment.		· ·				
4. If this request is made by a municipal employee organization, are the waivers required by NYCCBL § 12-312(d) and OCB Rule § 1-06(b)(1)(iii) included? (see attached)						
N1CCDL 8 12-312(d) and O	ись ките § 1-06(b)(1)(iii) in	iciuded? (see attached)				
		Ø Ves □ No.				

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5. ATTACH THE FOLLOWING (check appropriate boxes). Failure to provide documents may delay processing of request.							
The written grievance, if any							
The Step II decision, if issued		Date issued	_	-			
☑ The Step III decision, if issued		Date issued July 15, 2020					
The contract provision and/or the rule or regulation alleged to have been violated							
6. (a) Do the parties use a designated contract arbitrator?							
70% 10. 14. 15				Yes	✓	No	
If "yes" please identify:							
(b) Do the parties use a rotating panel of arbitrators?							
			7	Yes		No	
(c) Is this a request for expedited arbitration under the terms of the parties' agreement?							
				Yes		No	
CTATEMENT DECLIESTING ADDITION OF							
STATEMENT REQUESTING ARBITRATION: The undersigned hereby requests impartial arbitration of the unresolved grievance described above. A request is made for the designation of an arbitrator or arbitration panel to hear and decide the dispute.							
7. PERSON FILING REQUEST							
Name: David W. Morris		Title: Associate General Cou	nsel				
Address: 125 Broad Street, 11th Floor, New York, NY 10004							
Phone: (212) 298-9194	Fax: (212) 608-7824	Email (optional):					
Signature DIU min		Date August 4, 2020					

REQUEST FOR ARBITRATION (ATTACHMENT)

(c) A precise and concise statement of the grievance to be arbitrated:

Whether the City of New York ("City") violated Article XVI, Section 7(c) of the Collective Bargaining Agreement between the Police Benevolent Association of the City of New York, Inc. (the "PBA") and the City (the "Agreement") by publishing information regarding unfounded, exonerated, unsubstantiated, unadjudicated and non-final allegations of misconduct against police officers.

Whether the City violated Article XVI, Section 8 of the Agreement by publishing information regarding Schedule A Command Disciplines that resulted in a disposition other than guilty, or that are non-final.

(d) Specify the remedy sought:

We request that the arbitrator enter an award finding that the City violated Article XVI, Sections 7(c) and 8 of the Agreement, and ordering the City to: (i) cease and desist from publishing any information regarding unfounded, exonerated, unsubstantiated, unadjudicated and non-final allegations of misconduct against police officers; (ii) cease and desist from publishing information regarding Schedule A Command Disciplines that resulted in a disposition other than guilty, or that are non-final; (iii) make any police officer whose information has been improperly published in violation of Article XVI, Section 7(c) or 8 whole for any economic loss suffered as a result of the violation of the Agreement; and (iv) provide such other and further relief as is just and proper.

WAIVER				
OFFICE OF COLLECTIVE BARGAINING 100 GOLD STREET - 4TH FLOOR	Docket Number			
NEW YORK, N.Y. 10038 PHONE (212) 306-7160 FAX (212) 306-7167	Date Filed			
INSTRUCTIONS: Consult the New York City Collective Bargaini of New York ("NYCCBL"), § 12-312(d), and the Rules of the Office Chapter 1) ("OCB Rules"), § 1-06(b). File original with OCB and s NOTE: A separate waiver must be filed for each individual grievan filed by the authorized union representative only.	e of Collective Bargaining (Rules of the City of New York, Title 61, erve one copy on the designated agent for the other party.			
Description of grievance sought to be arbitrated: See the attachment.				
Soo the attachment.				
The undersigned employee organization and right, if any, to submit the underlying dispute to any the purpose of enforcing the arbitrator's award.	employee(s) aggrieved in this matter waive the other administrative or judicial tribunal except for			
	NYC Police Benevolent Association			
	Union			
	Patrick J. Lynch			
	Representative (print name)			
	President			
	Path of Syrifle			
	Representative (signature)			
	Grievant (print name)			
	Grievant (signature)			
Date: 8/4/2020				

WAIVER (ATTACHMENT)

Description of the grievance sought to be arbitrated:

Whether the City of New York ("City") violated Article XVI, Section 7(c) of the Collective Bargaining Agreement between the Police Benevolent Association of the City of New York, Inc. (the "PBA") and the City (the "Agreement") by publishing information regarding unfounded, exonerated, unsubstantiated, unadjudicated and non-final allegations of misconduct against police officers.

Whether the City violated Article XVI, Section 8 of the Agreement by publishing information regarding Schedule A Command Disciplines that resulted in a disposition other than guilty, or that are non-final.



OFFICE OF LABOR RELATIONS

40 Rector Street, New York, N.Y. 10006-1705 nyc.gov/olr

ROBERT W. LINN Commissioner

TO:

HEADS OF CONCERNED CITY DEPARTMENTS AND AGENCIES

FROM:

ROBERT W. LINN, COMMISSIONER

SUBJECT:

EXECUTED CONTRACT: POLICE OFFICERS

TERM:

AUGUST 1, 2010 TO JULY 31, 2012

Attached for your information and guidance is a copy of the executed contract entered into by the Commissioner of Labor Relations on behalf of the City of New York and the Patrolmen's Benevolent Association on behalf of the incumbents of positions listed in Article I of said contract.

The contract incorporates terms of an agreement reached through collective bargaining negotiations and related procedures.

DATED: February 26, 2016

OFFICE OF LABOR RELATIONS
REGISTRATION
OFFICIAL CONTRACT

NO: 16001 DATE:
Folialy 14, 1016

Patrolmen's Benevolent Association 2010 - 2012 Agreement

AGREEMENT made this day of column 2016 by and between the City of New York (hereinafter called "the City" or "the Employer"), acting by the Commissioner of Labor Relations, and the Patrolmen's Benevolent Association of the City of New York, Inc. (hereinafter called "the Union" or the "PBA"), for the two year period from August 1, 2010 to July 31, 2012. The parties agree that this Agreement modifies the 2006-2010 collective bargaining agreement based upon the November 13, 2015 arbitration award ("Arbitration Award"). Nothing in this agreement is intended to amend or modify the content of the Arbitration Award.

WHEREAS, the Police Officers employed by the City have duly designated the Union as their exclusive bargaining representative for the purpose of collective bargaining with the City with respect to wages, hours, and conditions of employment; and

WPEREAS, the Union and the City desire to cooperate in establishing conditions which will tend to secure standards and conditions of employment consistent with the dignity of Police Officers, and to provide methods for fair and peaceful adjustment of disputes that may arise between the Union and the City; and

WHEREAS, as a result of collective bargaining, the parties have reached an agreement which they desire to reduce to writing;

NOW, THEREFORE, it is mutually agreed as follows:

ARTICLE I - UNION RECOGNITION AND UNIT DESIGNATION

Section 1.

The City recognizes the Union as the sole and exclusive collective bargaining representative for the unit consisting of the employees of the New York City Police Department in the title of Police Officer, except those detailed as First, Second or Third Grade Detectives.

Section 2.

Except as otherwise provided herein, for purposes of this Agreement, the terms "employee" and "employees" or "Police Officer" shall be interchangeable and shall relate solely to employees in the unit described in Section 1 of this Article.

- a. The Department will provide the Union with a copy of all Orders, Department Bulletins, "Open Door" issues, and press releases. The details of delivery shall be worked out between the parties.
 - The Department will provide to the Union on a semi-annual basis a computer printout containing names and addresses of employees, listed alphabetically.
- b. The Union will provide the Department with a copy of Union publications, bulletins and press releases.

Section 6. Meal Areas

A representative of the Department and a representative of the PBA will meet to determine an adequate meal area for employees within each command and other Departmental places of assignment. This does not contemplate rebuilding or extensive remodeling.

Section 7. Personal Folder

- a. The Personnel Bureau will provide the Union with a list of categories of items included in the Personal Folder with an indication of those confidential items which an employee is not permitted to review.
- b. Employees may view their folders on normal business days between the hours of 9
 A.M. and 5 P.M. by appearing in person at the Employee Management Division, Personnel
 Bureau, 10th Floor, Police Headquarters. To avoid delay, employees should call the
 Employee Management Division at least one day in advance.
- c. The Department will, upon written request to the Chief of Personnel by the individual employee, remove from the Personal Folder investigative reports which, upon completion of the investigation, are classified "exonerated" and/or "unfounded."

Section 8. Disciplinary Records

Where an employee has been charged with a "Schedule A" violation as listed in Patrol Guide 118-2 and such case is heard in the Trial Room and disposition of the charge at trial or on review or appeal therefrom is other than "guilty", the employee concerned may, after 2 years from such disposition, petition the Police Commissioner for a review for the purpose of expunging the record of the case. Such review will be conducted by a board composed of the Deputy Commissioner - Trials, Department Advocate, and the Chief of Personnel, or their designees. The Board will make a recommendation to the Police Commissioner. The employee concerned will be notified of the final decision of the Police Commissioner by the Deputy Commissioner - Trials.

Section 9. Disciplinary Procedure

The parties, through a joint subcommittee, shall develop procedures to insure that:

a. All disciplinary charges shall be brought in a timely fashion pursuant to the current departmental regulations.





OFFICE OF THE PRESIDENT

July 13, 2020

VIA E-MAIL & HAND DELIVERY

John P. Beirne
Deputy Commissioner
Office of Labor Relations
New York City Police Department
One Police Plaza, Room 304
New York, NY 10038

Re: Group Grievance, Release of Police Officer Personnel Records, Violation of Article XVI, Section 7(c) and Section 8 of the Collective Bargaining Agreement

Dear Commissioner Beirne:

We write on behalf of all members of the Police Benevolent Association of the City of New York Inc. ("PBA") to object to the City of New York ("City") and New York City Police Department ("NYPD" or "Department") imminent publication of information regarding unsubstantiated, unfounded, exonerated and unadjudicated allegations against active and retired police officers. The City's release of such information would be in violation of Article XVI, Sections 7(c) and Section 8 of the Collective Bargaining Agreement between the PBA and the City of New York ("Agreement").

On June 12, 2020, Chapter 96 of the Laws of 2020 ("Chapter 96") was signed into law. Chapter 96 repealed Civil Rights Law 50-a, which, in sum, made police officer personnel records confidential, and exempt from disclosure, inspection or review absent the subject police officer's consent, or authorization by a court. Chapter 96 did not rescind or amend other exemptions to disclosure provided pursuant to New York State's Freedom of Information Law ("FOIL", Public Officer's Law § 84, et seq.), except that it amended the FOIL law to require agencies to redact certain personal information, and to permit agencies to redact information regarding technical infractions, from law enforcement records prior to disclosure. Chapter 96 also did not amend or in any way disturb the rights of public employees or their collective bargaining agents pursuant to the Taylor Law (Civil Service Law § 200, et seq.), the New York City Collective Bargaining

Law (New York City Administrative Code § 12-301, et seq.) or the agreements collectively bargained pursuant to those laws.¹

At a press conference on June 17, 2020, Mayor Bill De Blasio announced that the City planned to release to the public all new NYPD Trial Room decisions, and information regarding approximately 1,100 disciplinary cases relating to members of the service, including the accused's names, charges, hearing dates and final dispositions. Mayor De Blasio also declared that the City planned to publish an online database containing detailed information regarding members' personnel records and disciplinary history.

On or about June 25, 2020, the NYPD sent an email message to all uniformed members of the service regarding Chapter 96 and other recently enacted legislation affecting law enforcement (the "June 25th Message"). The June 25th Message stated in part that "the new law [Chapter 96] requires the release of substantiated, unsubstantiated, unfounded and exonerated allegations." In response, on June 29, 2020 PBA President Patrick J, Lynch and General Counsel Michael T. Murray wrote to Police Commissioner Dermot Shea objecting to the Department's announced policy and urging it to apply the various exemptions allowed under FOIL to lawfully withhold documents related to unsubstantiated, unfounded, exonerated and unadjudicated allegations against police officers.

On or about July 2, 2020, the NYPD's Chief of Personnel's office summoned representatives of all of the uniformed unions for a meeting. At the meeting, NYPD representatives informed the unions that the Department intended, as a first step in relation to what it viewed as its new disclosure obligations, to release summaries of all charges and specifications currently pending against uniformed members of the service. The PBA and the other uniformed unions strongly objected to the announcement on various legal and practical bases. In a subsequent meeting on July 9, 2020, the Department reiterated its prior position and again informed the unions that the summaries of pending disciplinary charges would be released on or about July 15, 2020, and that publication of additional information regarding all manner of personnel records, including unsubstantiated, unfounded and exonerated allegations, would follow at an as-yet undetermined, but imminent date.

I. The City Will Violate Article XVI Section 7(c) of the Agreement if it Releases Personnel Records

Article XVI, Section 7(c) of the Agreement provides:

¹ The PBA expressly reserves all rights under the Taylor Law, the NYCCBL, FOIL and other applicable law to enforce any rights that have been infringed upon by the City and the Department's policies relating to the release of personnel records.

² The Department initially informed the unions that the purpose of the meeting was to discuss recently passed legislation regarding the use of chokeholds when effecting arrests. The unions were informed only just before arriving for the meeting that the Department instead intended to discuss the disclosure of personnel records.

The Department will, upon written request to the Chief of Personnel by the individual employee, remove from the Personal Folder investigative reports which, upon completion of the investigation, are classified "exonerated" and/or "unfounded."

The Personal Folder acts as a central repository for the personnel records of a police officer throughout his or her career. The purpose of Article XVI, Section 7(c) is to protect police officers from adverse consequences that may result from allegations of misconduct that have been determined to be false or unproven. The City's publication of final Trial Room decisions, databases or any other personnel record that includes information regarding unsubstantiated, unfounded and exonerated allegations would effectively nullify Article XVI, Section 7(c). Once the allegations are published by the Department and available to anyone for any purpose, removing them from the Personal Folder would be futile. The public allegations could be used by the Department to influence personnel decisions, including determinations relating to promotions, transfers, detail assignments and overtime eligibility. More troubling, the information will undoubtedly be used by members of the public, including anti-police advocates, to harm the reputation of police officers, undermine their ability to continue to effectively perform police work, prevent them from obtaining employment outside of the Department, and subject them and their families to harassment, and possibly violence.

The City's imminent publication of all *pending* disciplinary charges, or any summary, database or record containing information regarding those pending charges, would also negate the rights provided by Article XVI, Section 7(c). Many pending charges will ultimately result in a finding that the allegations are exonerated or unfounded. Yet the City intends to release the allegations, purportedly without any exception or practical consideration of the potential harm to police officers, before the officers even have an opportunity to defend against the charges and request that the record of the allegations be removed from their Personal Folders pursuant to Article XVI. Section 7(c). Information regarding those pending charges will be used to harm police officers, who will have no longer have an opportunity to clear their record by having them expunged.

II. The City Will Violate Article XVI Section 8 of the Agreement if it Releases Personnel Records

Article XVI, Section 8 of the Agreement provides:

Where an employee has been charged with a "Schedule A" violation as listed in Patrol Guide 118-2 and such case is heard in the Trial Room and disposition of the charge at trial or on review or appeal therefrom is other than "guilty", the employee concerned may, after 2 years from such disposition, petition the Police Commissioner for a review for the purpose of expunging the record of the case. Such review will be conducted by a board composed of the Deputy Commissioner - Trials, Department Advocate, and the Chief of Personnel, or their designees. The Board will make a recommendation to the Police Commissioner.

The employee concerned will be notified of the final decision of the Police Commissioner by the Deputy Commissioner - Trials.

The City's planned publication of Trial Room decisions, pending charges and any other records relating to Schedule A violations where there has been any disposition other than a guilty finding is in violation of Article XVI, Section 8. If the City releases records relating to Schedule A violations, whether the allegations are final or pending, the records will be available to anyone wishing to harm the career or reputation of a police officer. Police officers will be irreversibly deprived of their right under the Agreement to have those records expunged.

For the reasons set forth above, the City and NYPD's plan to imminently publish Trial Room decisions, pending disciplinary charges or summaries of such charges, databases or any other records that include information regarding unsubstantiated, unfounded and exonerated allegations, as well as records relating to Schedule A violations with dispositions other than guilty, will, if executed, be in clear violation of Article XVI Sections 7(c) and 8 of the Agreement. Accordingly, we request that the City and Department cease and desist from publishing that information.

Please contact me if you would like to discuss.

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Patrick J. Lynch

cc: John Puglissi Michael T. Murray



POLICE DEPARTMENT

OFFICE OF LABOR RELATIONS ONE POLICE PLAZA - ROOM 304 NEW YORK, NEW YORK 10038 OLR 2020-00155 IG 3653

July 15, 2020

Mr. Patrick J. Lynch President Patrolmen's Benevolent Association 125 Broad Street New York, New York 10004

Re:

Group Grievance, Release of Police Officer Personnel Records, Violation of Article XVI, Section 7c and Section 8 of the Collective Bargaining Agreement

Dear Mr. Lynch:

The Step III grievance submitted by the Association is denied. There has been no violation, misinterpretation, or misapplication of the current collective bargaining agreement, nor has there been any violation, misinterpretation, or misapplication of the rules and procedures of this Department. Under, Article XXI Section 4 of the CBA, which states "grievances which affect substantial numbers of employees may be compressed by elimination of the fourth step of the grievance procedure," this grievance may be brought to arbitration within 20 days.

Sincerely yours,

John P. Beirrie

/sf